

**DECLARATION FROM NRIs/ PIOs BASED IN USA  
FOR INVESTMENT IN SCHEMES OF BAJAJ FINSERV MUTUAL FUND**

**Date:**

**To,  
Bajaj Finserv Asset Management Limited  
(Investment Manager to Schemes of Bajaj Finserv Mutual Fund)**

<b>Investor Details</b>	
<b>Folio/Account No.</b>	
<b>Name of First applicant</b>	
<b>Name of Guardian (In case of Minor)</b>	
<b>Name of Second Applicant</b>	
<b>Name of Third Applicant</b>	

I/We, hereby unequivocally and irrevocably declare, accept the statements, all of which I/We am/are fully knowledgeable about, as follows:

1. I/We am/are Non-Resident Indian(s) (“**NRI**”)/Person(s) of Indian Origin (“**PIO**”) as per the extant laws of the Republic of India and am/are resident(s) of the United States of America.
2. I/We confirm that the transaction(s) in the scheme(s) of Bajaj Finserv Mutual Fund (“**BFMF**”) was submitted by me/us, when I/we was/were physically present in India.
3. I/We understand and agree that BFMF and Bajaj Finserv Asset Management Limited (“**AMC**”) have neither filed any of their scheme related documents/ constitution documents nor have registered the units of the schemes of BFMF in any jurisdiction other than the Republic of India. The same has also been clearly mentioned in scheme related documents/ constitution documents.
4. I/We have not been communicated/ solicited/ marketed/ advertised about making any investment(s) in the scheme(s) of BFMF either by way of (a) any physical means (including but not limited to any advertisement, article, notice or other communication published in any newspaper, magazine or similar media, seminar, meeting, press conference, mailings, billboards or (b) any electronic means (including but not limited to a television/radio broadcast, internet, social media, electronic mails) in a

jurisdiction of which I am a resident by any person(s) including BFMF, AMC or their respective directors, trustees, employees, agents, assigns.

5. I/We confirm that by applying for subscription to the schemes of BFMF, I/We are not in any non-compliance, violation or in irregularity with any/all extant laws (of any jurisdiction) applicable to me/us and that I/We have not been barred/prohibited from accessing the capital markets under any order/ruling/judgement/decreed etc. in any jurisdiction.
6. I/We understand and acknowledge that BFMF and AMC reserves the right to reject or accept any investments and may redeem any investments already made, at their sole discretion and as they may deem fit without assigning any reasons.
7. I/We hereby authorize BFMF / AMC, its employees, its agents, its registrar and transfer agent (“**RTA**”) to disclose, share, remit in any form/manner/mode information with respect to investments made by me/us and/or any part of it including the changes/updates that may be provided by me/us to its agents, third party service providers, SEBI registered intermediaries for the purposes of any Indian or foreign statutory, regulatory, judicial, quasi- judicial authorities/agencies requirements without any intimation/advice to me/us.
8. I/we hereby declare that I/we are fully aware of the implications (legal, compliance, regulatory and tax related) of making such investment and I/we am/are taking this investment decision based on the advice of tax consultant(s) and legal counsel.
9. I/we hereby declare that the said investment(s) is being made by me/us under my/our free will and volition and no person (directly or indirectly) whatsoever has made any communication/solicitation /advertisement/marketing(active/passive) to me/us for making such investment.
10. I/We understand and acknowledge that AMC reserves that right to accept or reject any transactions and redeem any investments, at their sole discretion and as they may deem fit without assigning any reason thereto. I/We agree that such a decision of the AMC shall be final and binding on me/us.
11. I/We hereby agree to provide any additional information/ documentation to AMC, its agents, employees, RTA etc. that may be required in connection with the investments made by me/us.
12. I/We agree that BFMF / AMC / Bajaj Finserv Mutual Fund Trustee Limited ("**Trustee**") and/or their directors, officers and employees shall not be liable for any direct, indirect, special, incidental or consequential cost, loss, expenses, damages and claims arising out of, including but not limited to my/our investments or on account of any untrue/

misleading/ incomplete statement/ information provided by me or rejection of my/ our transactions/ reversal of units allotted, in the scheme.

13. I/We shall indemnify BFMF, AMC and its Group/ Associates, it's Directors / Officers / Employees in respect of any loss, cost, charge, expenses and such other claims which may be incurred in respect of any false, misleading, inaccurate and incomplete information in connection with my/our investments.
14. In case of any inconsistency being found between the information being provided by me/us in the application form provided by me/us for making investments and the information contained herein, the contents of this declaration shall prevail.

Signature  Signature  Signature

Name  Name  Name

Name if First/ Sole Applicant/  
Guardian      Name of Second Applicant      Name of Third Applicant

(In case of Minor)